# Preliminary Statistical Catch-at-Age Assessments of Georges Bank/Gulf of Maine White Hake 

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#### Abstract

Summary The Statistical Catch-at-Age assessments of the Georges Bank/Gulf of Maine white hake stock from GARM III are updated to include revised data, which also now extend for a further four years. With no change in methodology, an assessment based on these data results in lower spawning biomasses in absolute terms, likely in the main as a result of estimating a lesser doming effect in the commercial selectivity-at-age. As these data used are not yet fully finalised, only a preliminary investigation into refining the assessment methodology is carried out, where this involves development of a provisional new Reference case, computation of biological reference point values, and conducting a number of sensitivity tests. The aim is to advise and assist the final assessment process, and some further tests for running given finalised data are also suggested. The primary assessments run thus far suggest that the stock is currently not overfished and that overfishing is not occurring.


## Introduction

This paper provides a preliminary update of the assessment of the Georges Bank/Gulf of Maine white hake stock - "preliminary" in the sense that the dataset used is not yet finalized, but is as was available about a week before the January 2013 NEFSC assessment meeting. This dataset is termed "new data" hereunder. The paper first builds a bridge from the GARM III assessment of Butterworth et al. (2008) to one based on these new data, which have been revised from those used previously and now extend to 2011 rather than to 2007. In this bridge-building exercise, the assessment methodology is unchanged from that used in GARM III.

The paper then proceeds to modify this "2011 - new data" assessment in a number of ways to provide a provisional new Reference Case assessment ( RCp ), and conducts a number of sensitivities to this assessment as well as computing various biological reference points. The results are not intended as final, but rather as one basis to guide specifications and selections of further runs to be conducted during the assessment meeting once the data have been finalized.

## Data and Methodology

The algebraic details of the methods used for the SCAA assessments and BRP estimation are set out in Appendix A1. The data used for the assessments reported in this paper are as kindly provided by Katherine Sosebee (NEFSC) for the period 1963-2011 (except for catches commencing in 1950) and given in Appendix A2.

Appendix A3 provides a detailed description of and results for the bridge building exercise mentioned in the Introduction.

The following changes have been made from "2011 - new data" assessment with which the bridgebuilding exercise culminates to provide the provisional new (pending further data updates) Reference Case assessment "RCp":

1. Baranov catch equation instead of Pope's approximation.
2. Survey season: spring and autumn instead of begin and mid-year (equation A1.9).
3. Survey variance: use input CV's and estimate additional variance (equation A1.16), instead of estimate year-independent variance.
4. $\phi$ estimated instead of fixed at 0.2 .
5. $\mu_{\text {spawn }}=0.25$ instead of 0.1667 (equation A1.6).
6. Use age-dependent $\sigma_{a}$ for CAA (equations A1.18 and A1.21).
7. Flat commercial selectivity from age 6 .
8. Commercial selectivity blocks (1963-1997, 1998-2011).

The first six of these changes are either necessitated by changes to or more accurate representation of input information, together with advances made since GARM III in the assessment methodology applied to other stocks in the region such as Gulf of Maine cod (see e.g. Butterworth and Rademeyer 2012). The necessity for change 6 in the case of white hake was confirmed through the use of AIC. Changes 7 and 8 eventuated from specific analyses for the preliminary white hake data. Regarding 7, freeing the parameter concerned resulted in only a very weak dome in the commercial selectivity vector, and little improvement of the likelihood or changes in key results compared to keeping selectivity flat at larger ages, so it was set to be flat for RCp. Inspection of proportions-at-age residuals suggested a systematic pattern change for the commercial catch proportions-at-age in the mid-1990s. Katherine Sosebee suggested two specific possibilities for the time of this change based on other information; a change from 1997 to 1998 was selected for distinguishing two commercial selectivity blocks based on a better AIC (where this criterion also clearly justified the split from the previous single block).

The list of sensitivities to RCp that are presented in this paper is given in Table 1.

## Results

Table 2 lists estimates of primary parameters and management-related quantities for Georges' Bank/Gulf of Maine white hake for RCp and a series of sensitivities. Estimates of BRPs and current stock status estimates are summarized in Table 3.

Fig. 1 gives results for the RCp, while Fig. 2 plots its fit to survey and commercial data. Fig. 3 compares spawning biomass and recruitment trajectories for RCp and the different sensitivities. Fig. 4 compares the stock-recruitment curves for RCp (Ricker), sensitivity 2a (Beverton-Holt) and sensitivity 2b (modified Ricker, with $\gamma$ estimated). The commercial and survey selectivities for RCp and the sensitivities related to selectivities ( $4 \mathrm{a} / \mathrm{b} / \mathrm{c} / \mathrm{d}$ ) are plotted in Fig. 5. Bubble plots of CAA residuals are compared for $\mathrm{RCp}, 4 \mathrm{a}$ (flat survey selectivity), 6a (sqrt(p)) and 6 b (sqrt(p), flat survey selectivity). The fits to the survey and commercial CAA and CAL data for sensitivity 8 c , for which CAA from pooled ALKs are excluded and replaced by CAL, are shown in Fig. 6. The fits to the survey biomass indices for sensitivity 9 a , in which the Albatross/Bigelow calibration factor is estimated, are plotted in Fig. 7.

## Discussion

The major feature of the bridge-building analyses of Appendix A3 is that the spawning biomasses estimated for the "2011 - new data" assessment are lower in absolute terms than their GARM III counterparts, with corresponding increases in estimates of fishing mortality and decreases in estimates of recruitment. This feature seems to arise primarily from the doming of the commercial selectivity now being estimated to be rather less than at the time of GARM III. The data changes having the most impact on the results are the modifications to the annual catches, followed by introducing catch-at-age
information for further years through use of an arage ALK for years for which age data are not yet available.

As methodological updates applied to extend beyond the "2011-new data" assessment of the bridgebuilding exercise reflect a preliminary exercise because of their use of unfinalised data, the associated discussion is limited to listing a few key observations from the results briefly.

1) The fits to the data do not suggest $M$ values greater than 0.2 . (Sensitivity 1)
2) The Ricker stock-recruitment form is favoured over Beverton-Holt, with the data suggesting a sharper peak than the standard Ricker form, though the evidence for preference in terms of improvements to the likelihood is not strong. (Sensitivity 2)
3) Fitting to aggregate abundance indices in terms of numbers, rather than biomass, results in higher current and pristine spawning biomass estimates, but current stock status relative to the MSY spawning biomass level is not greatly affected. If only the spring NEFSC survey data are used, this status is improved, with the reverse result if only the autumn survey data are used. (Sensitivity 3)
4) Investigation of alternative assumptions for selectivity functions show strong AIC support for a difference in the slopes of commercial and survey selectivities-at-age above age 6 , with a preference for a near-flat commercial selectivity and strongly domed survey selectivities. The alternative sqrt(p) formulation for the distribution of the proportions-at-age residuals finds this same result, and suggests slightly improved current resource status relative to the MSY spawning biomass level than does the adjusted log-normal of RCp. Shifting the pre-1982 commercial selectivity towards a relatively larger catch of smaller hake has little impact on results. (Sensitivities 4 and 6)
5) When starting the assessment in 1963, the parameter which determines the initial age structure is poorly estimated, but this doesn't impact seriously on the estimates of biological reference points in terms of precision, with starting in 1950 instead also making little difference (note results falling well within CIs for the 1963 start in early years in Fig. 3a). In contrast, for a start in 1982, although $\phi$ becomes estimable with reasonable precision, the stock-recruitment relationship cannot be reasonably estimated. (Sensitivity 5)
6) Removable of an internally estimated stock-recruitment relationship results, through differences in the related shrinkage of recent estimates of recruitment, in lower estimates of current abundance. (Sensitivity 7)
7) Without inclusion of catch proportions-at-age data for years without direct ageing through use of an average ALK, the precision of the estimates of many quantities deteriorates substantially. However fitting to catch-at-length data for those years provides near unchanged results in terms of both these values and their precision. (Sensitivity 8).
8) Refining the Bigelow-Albatross calibration factor within the assessment leads to a slightly improved estimate of current stock status. The estimate of this factor decreases from 2.235 to 2.096, with an improvement in the associated standard error from 0.173 to 0.155 . (Sensitivity 9)
9) The RCp assessment and a number of key sensitivities all suggest that at present the stock is not overfished and that overfishing is not occurring. Estimates of current status and of catches under $0.75 \mathrm{~F}_{\text {MSY }}$ are rather more optimistic when based on fitted stock-recruitment curves than on F40\% MSY proxies. For the latter, starting the assessment in 1963 yields slightly more positive results than starting it in 1982. (Table 3)

## Further work

The assessment will be taken further given finalized data. In addition to the sensitivities examined above, other aspects that might then be examined include:
a) A retrospective analysis.
b) Forcing the survey bias factor $(q)$ for the autumn survey (close to 2 for most of the results reported in this paper) to a value closer to 1 .
c) When starting the assessment in 1982, estimating more elements of the starting numbers-atage vector.
d) Considering inclusion of information from other surveys in the data fitted.
e) The sensitivity of results to the precision of estimates of annual catches has been examined, particularly through assigning fairly large CVs to catches from earlier years; this suggests that this uncertainty does not compromise the precision of estimates of BRPs from assessments over longer periods such as those that start in 1963. However, possible bias implications should also be tested by considering alternative assumptions for time trends in factors such as allowances for discards.
f) Extending the model age structure from $9+$ to $10+$ to be able to utilize weight-at-age information now available to age 10+ (though it would seem questionable whether the extra work required to effect this would be warranted given the likely small impact on results).

## References

Butterworth DS, Rademeyer RA and Sosebee KA. 2008. Georges Bank/Gulf of Maine white hake. In Northeast Fisheries Science Center. 2008. Assessment of 19 Northeast Groundfish Stocks through 2007: Report of the 3rd Groundfish Assessment Review Meeting (GARM III), Northeast Fisheries Science Center, Woods Hole, Massachusetts, August 4-8, 2008. US Department of Commerce, NOAA Fisheries, Northeast Fisheries Science Center Ref Doc. 0815; 884 p + xvii: 590-642.
Butterworth DS and Rademeyer RA. 2012. Applications of Statistical Catch-at-Age Assessment Methodology to Gulf of Maine cod, October 2012.Document presented to SAW/SARC 55 Working Group on Gulf of Maine and Georges Bank cod Modeling Meeting, 15-19 October, 2012. 40pp.

Table 1: List of the sensitivities run. After each sub-heading, the RCp specifications are given in parenthesis.

```
1. Natural mortality (RCp: M=0.2)
    1a }M=0.
    1b M incr: }M\mathrm{ increasing linearly from 0.2 at age 5 to 0.4 at age 9
2. Stock-recruitment curve (RCp: Ricker)
    2a BH: Beverton-Holt stock-recruitment curve
    2b }\gamma\mathrm{ estimated: from the modified Ricker, eqn A1.4
3. Survey data (RCp: Fit to biomass, both surveys)
    3a Fit to Numbers: for the survey indices
    3b Fit to Spring survey only: for both the index and CAA data
    3c Fit to Autumn survey only: for both the index and CAA data
4. Selectivities (RCp: flat comm from age 6, domed survey)
    4a Flat survey selectivity: from age 6
    4b Pre-1982 comm sel shifted: shifted one year to the left
    4c Flat survey sel, domed comm sel: flat from age 6 for surveys, free for commercial
    4d Domed survey and comm sel
5. Start year (RCp: start in 1963)
    5a Start in 1982
    5b Start in }195
6. CAA error formulation (RCp: adjusted log-normal)
    6a sqrt(p)
    6b sqrt(p), flat survey selectivity
7. No internal stock-recruitment (RCp: internal stock-recruit)
    7a no SR
    7b no SR, start }198
8. Excluding CAA from pooled ALK (RCp: include CAA from pooled ALK)
    8a Surv CAL for yrs with pooled ALK
    8b Surv and comm CAL for yrs with pooled ALK
    8c Exclude CAA from pooled ALK: not fitting to any CAL
9. Calibration refinement (RCp: calibration refinement not included)
    9a Bigelow calibration: }\Delta\operatorname{ln}q\mathrm{ estimated (equation A1.33)
```

Table 2a: Results for RCp and some sensitivities. Mass units are ' 000 tons.

|  | RCp |  | 1a |  | 1 b |  | 2a |  | 2b |  | 3 a |  | 3b |  | 3 c |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | $M=0.4$ |  | $M$ incr |  | BH |  | $\gamma$ estimated |  | Fit to Numbers |  | Fit to Spring survey only |  | Fit to Autumn survey only |  |
| '-InL:overall | -368.3 |  | -365.3 |  | -367.7 |  | -367.1 |  | -369.0 |  | -362.0 |  | -151.5 |  | -280.9 |  |
| '-InL:Survey | -34.3 |  | -26.2 |  | -28.5 |  | -34.6 |  | -34.2 |  | -30.7 |  | -6.9 |  | -30.5 |  |
| '-InL:CAAcom | -42.6 |  | -46.4 |  | -45.2 |  | -42.6 |  | -42.6 |  | -43.4 |  | -47.3 |  | -48.5 |  |
| '-InL:CAAsurv | -301.6 |  | -301.6 |  | -303.3 |  | -301.3 |  | -301.4 |  | -300.4 |  | -105.8 |  | -214.1 |  |
| '-InL:CALcom | - |  | - |  | - |  | - |  | - |  | - |  | - |  | - |  |
| '-InL:Catch | 1.1 |  | 1.5 |  | 1.3 |  | 1.2 |  | 1.1 |  | 1.6 |  | 0.9 |  | 1.3 |  |
| '-InL:CALsurv | - |  | - |  | - |  | - |  | - |  | - |  | - |  | - |  |
| '-InL:RecRes | 9.0 |  | 7.4 |  | 7.9 |  | 10.2 |  | 8.1 |  | 10.9 |  | 7.7 |  | 10.8 |  |
| -InL:calibration | - |  | - |  | - |  | - |  | - |  | - |  | - |  | - |  |
| MaxGradient | 0.0000 |  | 0.0000 |  | 0.0000 |  | 0.0000 |  | 0.0000 |  | 0.0000 |  | 0.0000 |  | 0.0000 |  |
| $h$ | 1.21 | (0.14) | 0.62 | (0.15) | 0.74 | (0.15) | 0.78 | (0.09) | 1.26 | (0.13) | 0.81 | (0.14) | 1.30 | (0.15) | 1.24 | (0.15) |
| $\gamma$ | 1.00 | - | 1.00 | - | 1.00 | - | 1.00 | - | 2.11 | (0.50) | 1.00 | - | 1.00 | - | 1.00 | - |
| $\theta$ | 0.57 | (0.29) | 0.57 | (0.21) | 0.56 | (0.19) | 0.28 | (0.34) | 0.77 | (0.17) | 0.25 | (0.29) | 0.77 | (0.19) | 0.52 | (0.28) |
| $\phi$ | 0.01 | (4.07) | 0.00 | (1000) | 0.00 | (1000) | 0.02 | (1.65) | 0.00 | (1000) | 0.03 | (4.07) | 0.00 | (1000) | 0.02 | (1.81) |
| $K^{s p}$ | 69.13 | (0.14) | 68.91 | (0.19) | 66.39 | (0.17) | 128.17 | (0.20) | 55.08 | (0.17) | 120.65 | (0.14) | 71.01 | (0.14) | 64.82 | (0.15) |
| $B^{\text {SP }}{ }_{2011}$ | 25.34 | (0.17) | 37.17 | (0.18) | 32.38 | (0.18) | 24.77 | (0.17) | 25.25 | (0.18) | 29.78 | (0.17) | 33.99 | (0.23) | 22.45 | (0.19) |
| $B^{s p}{ }_{2011} / K^{\text {SP }}$ | 0.37 | (0.21) | 0.54 | (0.24) | 0.49 | (0.22) | 0.19 | (0.26) | 0.46 | (0.21) | 0.25 | (0.21) | 0.48 | (0.26) | 0.35 | (0.23) |
| $B^{S P}{ }_{M S Y}$ | 30.43 | (0.10) | 32.35 | (0.13) | 31.57 | (0.12) | 42.98 | (0.16) | 29.38 | (0.13) | 39.44 | (0.10) | 31.05 | (0.11) | 28.53 | (0.10) |
| MSYL ${ }^{\text {sp }}$ | 0.44 | (0.11) | 0.47 | (0.16) | 0.48 | (0.13) | 0.34 | (0.07) | 0.53 | (0.24) | 0.33 | (0.11) | 0.44 | (0.12) | 0.44 | (0.11) |
| $B^{\text {SP }}{ }_{2011} / B^{S P}{ }_{M S Y}$ | 0.83 | (0.18) | 1.15 | (0.18) | 1.03 | (0.18) | 0.58 | (0.23) | 0.86 | (0.20) | 0.76 | (0.18) | 1.09 | (0.22) | 0.79 | (0.19) |
| MSY | 7.75 | (0.10) | 8.37 | (0.13) | 8.39 | (0.12) | 7.82 | (0.15) | 8.57 | (0.13) | 7.60 | (0.10) | 8.44 | (0.10) | 7.41 | (0.10) |
| $F_{M S Y}$ | 0.30 | - | 0.41 | - | 0.35 | - | 0.21 | - | 0.35 | - | 0.22 | - | 0.33 | - | 0.31 | - |
| spring_q | 1.16 | (0.06) | 0.54 | (0.07) | 0.86 | (0.07) | 1.16 | (0.06) | 1.16 | (0.06) | 1.06 | (0.06) | 1.10 | (0.06) | - |  |
| autumn_q | 1.96 | (0.05) | 0.97 | (0.07) | 1.42 | (0.07) | 1.97 | (0.05) | 1.97 | (0.05) | 1.71 | (0.05) | - |  | 2.04 | (0.05) |
| spring_ $\sigma_{\text {Add }}$ | 0.16 | (0.32) | 0.17 | (0.32) | 0.16 | (0.32) | 0.16 | (0.32) | 0.16 | (0.32) | 0.13 | (0.31) | 0.20 | (0.29) | - |  |
| $\underline{\text { autumn_ } \sigma_{\text {Add }}}$ | 0.06 | (0.48) | 0.10 | (0.40) | 0.09 | (0.41) | 0.05 | (0.49) | 0.05 | (0.49) | 0.14 | (0.30) | - |  | 0.07 | (0.33) |

Table 2b: Results for RCp and some sensitivities. Mass units are ' 000 tons.

|  | RCp |  | 4 a |  | 4b |  | 4 c |  | 4d |  | 5a |  | 5b |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Flat survey selectivity |  | Pre-1982 comm sel shifted |  | Flat survey sel, domed comm sel |  | Domed survey and comm sel |  | start in 1982 |  | start in 1950 |  |
| '-InL:overall | -368.3 |  | -341.1 |  | -366.6 |  | -355.4 |  | -369.6 |  | -191.8 |  | -369.6 |  |
| '-InL:Survey | -34.3 |  | -37.2 |  | -33.9 |  | -37.7 |  | -29.8 |  | -22.7 |  | -33.9 |  |
| '-InL:CAAcom | -42.6 |  | -33.8 |  | -42.7 |  | -40.4 |  | -47.2 |  | -45.5 |  | -42.2 |  |
| '-InL:CAAsurv | -301.6 |  | -287.3 |  | -299.8 |  | -295.7 |  | -301.2 |  | -131.0 |  | -304.4 |  |
| '-InL:CALcom | - |  | - |  | - |  | - |  | - |  | - |  | - |  |
| '-InL:Catch | 1.1 |  | 5.9 |  | 1.0 |  | 6.2 |  | 1.4 |  | 1.3 |  | 1.1 |  |
| '-InL:CALsurv | - |  | - |  | - |  | - |  | - |  | - |  | - |  |
| '-InL:RecRes | 9.0 |  | 11.4 |  | 8.7 |  | 12.1 |  | 7.3 |  | 6.0 |  | 9.9 |  |
| -InL:calibration | - |  | - |  | - |  | - |  | - |  | - |  | - |  |
| MaxGradient | 0.0000 |  | 0.0000 |  | 0.0000 |  | 0.0000 |  | 0.0000 |  | 0.0000 |  | 0.0000 |  |
| $h$ | 1.21 | (0.14) | 1.47 | (0.17) | 1.19 | (0.14) | 1.44 | (0.16) | 0.98 | (0.19) | 0.86 | (0.26) | 1.25 | (0.14) |
| $\gamma$ | 1.00 | - | 1.00 | - | 1.00 | - | 1.00 | - | 1.00 | - | 1.00 | - | 1.00 | - |
| $\theta$ | 0.57 | (0.29) | 0.19 | (0.36) | 0.57 | (0.27) | 0.22 | (0.34) | 0.61 | (0.16) | 0.04 | (8.32) | 0.45 | (1.17) |
| $\phi$ | 0.01 | (4.07) | 0.26 | (0.19) | 0.01 | (2.94) | 0.50 | (0.32) | 0.00 | (1000) | 0.25 | (0.18) | 0.53 | (0.99) |
| $K^{\text {sp }}$ | 69.13 | (0.14) | 63.19 | (0.31) | 73.12 | (0.14) | 58.73 | (0.28) | 97.24 | (0.24) | 730.11 | (8.27) | 66.82 | (0.12) |
| $B^{s p}{ }_{2011}$ | 25.34 | (0.17) | 16.06 | (0.18) | 26.01 | (0.17) | 15.47 | (0.17) | 33.67 | (0.23) | 22.18 | (0.20) | 25.74 | (0.17) |
| $B^{s p}{ }_{2011} / K^{S P}$ | 0.37 | (0.21) | 0.25 | (0.37) | 0.36 | (0.21) | 0.26 | (0.34) | 0.35 | (0.21) | 0.03 | (8.30) | 0.39 | (0.18) |
| $B^{s p}{ }_{M S Y}$ | 30.43 | (0.10) | 27.46 | (0.23) | 32.26 | (0.10) | 27.28 | (0.25) | 42.79 | (0.18) | 333.38 | (8.07) | 29.33 | (0.10) |
| MSYL ${ }^{\text {SP }}$ | 0.44 | (0.11) | 0.43 | (0.11) | 0.44 | (0.10) | 0.46 | (0.17) | 0.44 | (0.15) | 0.46 | (0.22) | 0.44 | (0.11) |
| $B^{S P}{ }_{2011} / B^{S P}{ }_{M S Y}$ | 0.83 | (0.18) | 0.58 | (0.29) | 0.81 | (0.17) | 0.57 | (0.32) | 0.79 | (0.19) | 0.07 | (8.10) | 0.88 | (0.17) |
| MSY | 7.75 | (0.10) | 8.40 | (0.23) | 8.08 | (0.10) | 8.13 | (0.21) | 8.87 | (0.13) | 63.64 | (8.07) | 7.63 | (0.09) |
| $F_{M S Y}$ | 0.30 | - | 0.41 | - | 0.29 | - | 0.66 | - | 0.29 | - | 0.22 | - | 0.30 | - |
| spring_q | 1.16 | (0.06) | 1.24 | (0.05) | 1.15 | (0.06) | 1.30 | (0.05) | 0.98 | (0.12) | 1.14 | (0.07) | 1.16 | (0.06) |
| autumn_q | 1.96 | (0.05) | 2.17 | (0.05) | 1.96 | (0.05) | 2.28 | (0.04) | 1.65 | (0.12) | 2.09 | (0.06) | 1.97 | (0.05) |
| spring_ $\sigma_{\text {Add }}$ | 0.16 | (0.32) | 0.16 | (0.32) | 0.16 | (0.32) | 0.17 | (0.32) | 0.16 | (0.32) | 0.14 | (0.39) | 0.16 | (0.32) |
| autumn_ $\sigma_{\text {Add }}$ | 0.06 | (0.48) | 0.04 | (0.54) | 0.06 | (0.47) | 0.04 | (0.55) | 0.09 | (0.46) | 0.05 | (0.82) | 0.06 | (0.48) |

Table 2c: Results for RCp and some sensitivities. Note that for 7a, the BRP are estimated externally to the assessment (see Appendix A, section A1.5). For sensitivity 9 a (Bigelow calibration), the first two survey $q$ 's (and associated CVs) are for the Albatross, followed by those for the Bigelow. Mass units are ' 000 tons.

|  | RCp |  | 6 a |  | 6b |  | 7a |  |  |  | 8 a |  | 8 b |  | 8c |  | 9 a |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | sqrt( |  | sqrt(p), flat survey selectivity |  | no |  | $\begin{gathered} \text { no SR, start } \\ 1982 \end{gathered}$ |  | Surv CAL for yrs with pooled ALK |  | Surv and comm CAL for yrs with pooled ALK |  | Exclude CAA from pooled ALK |  | Bigelow calibration |  |  |  |
| '-InL:overall | -368.3 |  | -1905 |  | -1880 |  | -376.3 |  | -197.4 |  | -79.6 |  | -64.5 |  | -158.9 |  | -368.6 |  |  |  |
| '-InL:Survey | -34.3 |  | -33.1 |  | -36.6 |  | -36.5 |  | -23.6 |  | -35.0 |  | -35.0 |  | -38.3 |  | -34.8 |  |  |  |
| '-InL:CAAcom | -42.6 |  | -327.9 |  | -317.1 |  | -44.1 |  | -46.5 |  | -24.2 |  | -24.8 |  | -22.7 |  | -42.7 |  |  |  |
| '-InL:CAAsurv | -301.6 |  | -1556 |  | -1545 |  | -298.5 |  | -129.5 |  | -98.6 |  | -96.5 |  | -108.1 |  | -301.7 |  |  |  |
| '-InL:CALcom | - |  | - |  | - |  | - |  | - |  | - |  | 13.7 |  | - |  | - |  |  |  |
| '-InL:Catch | 1.1 |  | 1.4 |  | 4.7 |  | 1.5 |  | 1.5 |  | 1.1 |  | 1.2 |  | 1.8 |  | 1.2 |  |  |  |
| '-InL:CALsurv | - |  | - |  | - |  | - |  | - |  | 66.9 |  | 66.6 |  | - |  | - |  |  |  |
| '-InL:RecRes | 9.0 |  | 11.0 |  | 13.6 |  | 1.3 |  | 0.7 |  | 10.2 |  | 10.2 |  | 8.4 |  | 9.0 |  |  |  |
| -InL:calibration | - |  | - |  | - |  | - |  | - |  | - |  | - |  | - |  | 0.3 |  |  |  |
| MaxGradient | 0.0000 |  | 0.0000 |  | 0.0000 |  | 0.0000 |  | 0.0000 |  | 0.0000 |  | 0.0000 |  | 0.0000 |  | 0.0000 |  |  |  |
| $h$ | 1.21 | (0.14) | 1.39 | (0.13) | 1.59 | (0.16) | - |  | - |  | 1.27 | (0.16) | 1.29 | (0.15) | 1.05 | (0.21) | 1.22 | (0.14) |  |  |
| $\gamma$ | 1.00 | - | 1.00 | - | 1.00 | - | - |  | - |  | 1.00 | - | 1.00 | - | 1.00 | - | 1.00 | - |  |  |
| $\theta$ | 0.57 | (0.29) | 0.59 | (0.30) | 0.23 | (0.28) | 0.50 | (0.13) | - |  | 0.60 | (0.20) | 0.57 | (0.58) | 0.11 | (0.80) | 0.57 | (0.29) |  |  |
| $\phi$ | 0.01 | (4.07) | 0.02 | (2.48) | 0.28 | (0.22) | 0.02 | (1.71) | 0.25 | (0.10) | 0.00 | (1000) | 0.01 | (11.75) | 0.38 | (0.93) | 0.01 | (3.81) |  |  |
| $K^{s p}$ | 69.13 | (0.14) | 63.76 | (0.13) | 53.93 | (0.22) | 68.32 | (0.13) | - |  | 65.64 | (0.15) | 64.19 | (0.15) | 95.32 | (0.47) | 68.82 | (0.14) |  |  |
| $B^{\text {sp }}{ }_{2011}$ | 25.34 | (0.17) | 25.47 | (0.18) | 16.80 | (0.18) | 21.31 | (0.17) | 19.17 | (0.09) | 23.03 | (0.19) | 22.74 | (0.19) | 19.63 | (0.19) | 25.97 | (0.17) |  |  |
| $B^{\text {sp }}{ }_{2011} / K^{\text {sp }}$ | 0.37 | (0.21) | 0.40 | (0.19) | 0.31 | (0.29) | 0.31 | (0.13) | - |  | 0.35 | (0.21) | 0.35 | (0.23) | 0.21 | (0.54) | 0.38 | (0.21) |  |  |
| $B^{s p}{ }_{M S Y}$ | 30.43 | (0.10) | 27.66 | (0.10) | 23.24 | (0.16) | 29.49 | (0.09) | - |  | 28.80 | (0.11) | 28.14 | (0.11) | 42.70 | (0.35) | 30.28 | (0.10) |  |  |
| MSYL ${ }^{\text {sp }}$ | 0.44 | (0.11) | 0.43 | (0.10) | 0.43 | (0.10) | 0.43 | (0.09) | - |  | 0.44 | (0.14) | 0.44 | (0.12) | 0.45 | (0.17) | 0.44 | (0.11) |  |  |
| $B^{s p}{ }_{2011} / B^{S p}{ }_{M S Y}$ | 0.83 | (0.18) | 0.92 | (0.17) | 0.72 | (0.23) | 0.72 | (0.09) | - |  | 0.80 | (0.20) | 0.81 | (0.20) | 0.46 | (0.44) | 0.86 | (0.18) |  |  |
| MSY | 7.75 | (0.10) | 8.01 | (0.10) | 7.66 | (0.15) | 7.50 | (0.09) | - |  | 7.46 | (0.10) | 7.53 | (0.10) | 9.45 | (0.35) | 7.76 | (0.10) |  |  |
| $F_{M S Y}$ | 0.30 | - | 0.36 | - | 0.46 | - | 0.30 |  | - |  | 0.30 | - | 0.32 | (0.07) | 0.25 |  | 0.24 |  |  |  |
| spring_q | 1.16 | (0.06) | 1.25 | (0.06) | 1.35 | (0.05) | 1.20 | (0.06) | 1.18 | (0.07) | 1.13 | (0.07) | 1.13 | (0.07) | 1.30 | (0.08) | 1.17 | (0.06) | 2.45 | (0.10) |
| autumn_q | 1.96 | (0.05) | 2.06 | (0.06) | 2.27 | (0.05) | 2.05 | (0.05) | 2.17 | (0.06) | 1.93 | (0.07) | 1.93 | (0.07) | 2.13 | (0.07) | 2.01 | (0.05) | 4.21 | (0.09) |
| spring_ $\sigma_{\text {Add }}$ | 0.16 | (0.32) | 0.16 | (0.32) | 0.16 | (0.32) | 0.16 | (0.32) | 0.14 | (0.39) | 0.18 | (0.08) | 0.16 | (0.33) | 0.18 | (0.32) | 0.16 | (0.32) |  |  |
| autumn_ $\sigma_{\text {Add }}$ | 0.06 | (0.48) | 0.06 | (0.47) | 0.04 | (0.52) | 0.04 | (0.53) | 0.03 | (0.95) | 0.16 | (0.33) | 0.05 | (0.52) | 0.03 | (0.70) | 0.05 | (0.50) |  |  |

Table 3: BRPs for RCp and some sensitivities. Mass units are tons.

|  |  | RCp | 2a | 7a | 7b |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | BH | no SR | $\begin{gathered} \text { no SR, start } \\ 1982 \end{gathered}$ |
|  | Start year | 1963 | 1963 | 1963 | 1982 |
|  | SR relationship | Ricker | Beverton-Holt | None (Ricker external) |  |
| $\begin{aligned} & \text { n } \\ & \text { co } \\ & \infty \\ & \stackrel{c}{n} \end{aligned}$ | $B^{S P}{ }_{2011} / B^{\text {SP }}{ }_{M S Y}$ | 0.83 | 0.58 | 0.72 |  |
|  | $F_{2011} / F_{M S Y}$ | 0.45 | 0.67 | 0.54 |  |
|  | MSY | 7.75 | 7.82 | 7.50 |  |
|  | $C_{2012}\left(0.75 F_{M S Y}\right)$ | 6986 | 4883 | 5786 |  |
|  | overfished | No | No | No |  |
|  | overfishing | No | No | No |  |
|  | $B^{S p}{ }_{2011} / B^{S p}{ }_{M S Y}$ | 0.71 | 0.69 | 0.61 | 0.57 |
|  | $F_{\text {2011 }} / F_{M S Y}$ | 0.75 | 0.77 | 0.90 | 1.01 |
|  | MSY | 5.73 | 5.74 | 5.57 | 5.40 |
|  | $C_{2012}\left(0.75 F_{M S Y}\right)$ | 4394 | 4299 | 3650 | 3274 |
|  | overfished | No | No | No | No |
|  | overfishing | No | No | No | Yes |



Fig. 1: Results for the RCp Georges Bank/Gulf of Maine white hake assessment.

NEFSC Spring survey




Fig. 2: Fit of RCp to the survey and commercial data




Commercial




Fig. 3a: Spawning biomass and recruitment trajectories for RCp and some sensitivities. The 95\% CIs shown in the bottom left plot are for RCp.


Fig. 3b: Spawning biomass and recruitment trajectories for RCp and some sensitivities.


Fig. 4: Stock-recruitment curve and estimated recruitment for RCp (full line and solid dots) and 2 a (Beverton-Holt) (dashed line and crosses) for the left-hand plot and 2 b ( $\gamma$ estimated) (dashed line and crosses) for the right-hand plot. Note that that N1 values for year $y$ are associated with spawning biomass values for the previous year.


Fig. 5: Commercial and survey selectivities for RCp and some sensitivities.


Fig. 6: CAA standardised residuals for RCp and some sensitivities.


Fig. 7: Fit to CAA and CAL for sensitivity 8c.


Fig. 8: Fit to NEFSC surveys adjusted for the calibration refinement. Open circles are the surveys with the existing calibration factor.

## Appendix A1

## Algebraic details of the Statistical Catch-at-Age Model

The text following sets out the equations and other general specifications of the Statistical Catch-atAge (SCAA) assessment model applied to white hake, followed by details of the contributions to the (penalised) log-likelihood function from the different sources of data available and assumptions concerning the stock-recruitment relationship. Quasi-Newton minimization is applied to minimize the total negative log-likelihood function to estimate parameter values (the package AD Model Builder ${ }^{\mathrm{TM}}$, Otter Research, Ltd is used for this purpose).

Where options are provided under a particular section, the section concludes with a statement in bold as to which option was selected for the provisional Reference Case ( RCp ) run selected.

## A1.1. Population dynamics

## A1.1.1 Numbers-at-age

The resource dynamics are modelled by the following set of population dynamics equations:
$N_{y+1,1}=R_{y+1}$
$N_{y+1, a+1}=N_{y, a} e^{-Z_{y, a}} \quad$ for $1 \leq a \leq m-2$
$N_{y+1, m}=N_{y, m-1} e^{-Z_{y, m-1}}+N_{y, m} e^{-Z_{y, m}}$
where
$N_{y, a} \quad$ is the number of fish of age $a$ at the start of year $y$,
$R_{y} \quad$ is the recruitment (number of 1-year-old fish) at the start of year $y$,
$m \quad$ is the maximum age considered (taken to be a plus-group).
$Z_{y, a}=F_{y} S_{y, a}+M_{a}$ is the total mortality in year $y$ on fish of age $a$, where
$M_{a}$ denotes the natural mortality rate for fish of age $a$,
$F_{y} \quad$ is the fishing mortality of a fully selected age class in year $y$, and
$S_{y, a}$ is the commercial selectivity at age $a$ for year $y$.

## A1.1.2. Recruitment

The number of recruits (i.e. new 1-year olds) at the start of year $y$ is assumed to be related to the spawning stock size (i.e. the biomass of mature fish) by either a modified Ricker or a Beverton-Holt stock-recruitment relationship, allowing for annual fluctuation about the deterministic relationship.

For the modified Ricker:

$$
\begin{equation*}
R_{y}=\alpha B_{y-1}^{\text {sp }} \exp \left[-\beta\left(B_{y-1}^{\text {sp }}\right)^{\gamma}\right] e^{\left(\varsigma_{y}-\left(\sigma_{\mathrm{R}}\right)^{2} / 2\right)} \tag{A1.4}
\end{equation*}
$$

and for the (standard) Beverton-Holt:

$$
\begin{equation*}
R_{y}=\frac{\alpha B_{y-1}^{s p}}{\beta+B_{y-1}^{s p}} e^{\left(\varsigma_{y}-\left(\sigma_{\mathrm{R}}\right)^{2} / 2\right)} \tag{A1.5}
\end{equation*}
$$

where
$\alpha, \beta$, and $\gamma$ are spawning biomass-recruitment relationship parameters,
$\varsigma_{y} \quad$ reflects fluctuation about the expected recruitment for year $y$, which is assumed to be normally distributed with standard deviation $\sigma_{\mathrm{R}}$ (which is input in the applications considered here); these residuals are treated as estimable parameters in the model fitting process.
$B_{y}^{\text {sp }}$ is the spawning biomass at the start of year $y$, computed as:
$B_{y}^{\mathrm{sp}}=\sum_{a=1}^{m} f_{a} w_{y, a}^{\text {str }} N_{y, a} e^{-Z_{y, a} \mu_{\text {spawn }}}$
because spawning for the cod stock under consideration is taken to occur three months $\left(\mu_{\text {spawn }}=0.25\right)$ after the start of the year and some mortality has therefore occurred,
where
$w_{y, a}^{\text {strt }}$ is the mass of fish of age $a$ during spawning, and
$f_{a}$ is the proportion of fish of age $a$ that are mature.

## For RCp, the modified Ricker, with $\gamma$ fixed to 1, has been used, i.e. the classical Ricker function.

## A1.1.3. Total catch and catches-at-age

The total catch by mass in year $y$ is given by:

$$
\begin{equation*}
C_{y}=\sum_{a=1}^{m} w_{y, a}^{\text {mid }} C_{y, a}=\sum_{a=1}^{m} w_{y, a}^{\text {mid }} N_{y, a} S_{y, a} F_{y}\left(1-e^{-z_{y, a}}\right) / Z_{y, a} \tag{A1.7}
\end{equation*}
$$

where
$w_{y, a}^{\operatorname{mid}} \quad$ denotes the mass of fish of age $a$ landed in year $y$,
$C_{y, a}$ is the catch-at-age, i.e. the number of fish of age $a$, caught in year $y$.
The model estimate of survey index is computed as:
$B_{y}^{\text {surv }}=\sum_{a=1}^{m} w_{y, a}^{\text {surv }} S_{a}^{\text {surv }} N_{y, a} e^{-z_{y, a} T^{s u r} / 12}$
for biomass indices and
$N_{y}^{\mathrm{surv}}=\sum_{a=1}^{m} S_{a}^{\mathrm{surv}} N_{y, a} e^{-\mathrm{Z}_{\mathrm{y}, a} T^{\text {surv }} / 12}$
for numbers indices
where
$S_{a}^{s u r v}$ is the survey selectivity for age $a$, which is taken to be year-independent.
$T^{\text {surv }}$ is the season in which the survey is taking place ( $T^{s u r v}=3$ for spring surveys and $T^{s u r v}=9$ for fall surveys), and
$w_{y, a}^{s u r v}$ denotes the mass of fish of age $a$ from survey surv year, taken as $w_{y, a}^{s t r t}$ (Table A2.2) for the spring survey and $w_{y, a}^{\text {mid }}$ (Table A2.3) for the autumn survey.

## RCp is fitted to biomass indices.

## A1.1.4. Initial conditions

As the first year for which data (even annual catch data) are available for the white hake stock considered clearly does not correspond to the first year of (appreciable) exploitation, one cannot necessarily make the conventional assumption in the application of SCAA's that this initial year reflects a population (and its age-structure) at pre-exploitation equilibrium. For the first year ( $y_{0}$ ) considered in the model therefore, the stock is assumed to be at a fraction $(\theta)$ of its pre-exploitation biomass, i.e.:
$B_{y_{0}}^{\text {sp }}=\theta \cdot K^{\text {sp }}$
with the starting age structure:

$$
\begin{equation*}
N_{y_{0}, a}=R_{\text {start }} N_{\text {start }, a} \quad \text { for } 1 \leq a \leq m \tag{A1.11}
\end{equation*}
$$

where
$N_{\text {start,1 }}=1$
$N_{\text {start }, a}=N_{\text {start }, a-1} e^{-M_{a-1}}\left(1-\phi S_{a-1}\right) \quad$ for $2 \leq a \leq m-1$
$N_{\text {start }, m}=N_{\text {start }, m-1} e^{-M_{m-1}}\left(1-\phi S_{m-1}\right) /\left(1-e^{-M_{m}}\left(1-\phi S_{m}\right)\right)$
where $\phi$ characterises the average fishing proportion over the years immediately preceding $y_{0}$.

## For RCp, $\theta$ and $\phi$ are estimated directly in the model fitting procedure.

## A1.2. The (penalised) likelihood function

The model can be fit to (a subset of) survey abundance indices, and commercial and survey catch-atage and catch-at-length data to estimate model parameters (which may include residuals about the stock-recruitment function, facilitated through the incorporation of a penalty function described below). Contributions by each of these to the negative of the (penalised) log-likelihood ( $-\ell n L$ ) are as follows.

## A1.2.1. Survey abundance data

The likelihood is calculated assuming that a survey biomass index is lognormally distributed about its expected value:

$$
\begin{equation*}
I_{y}^{s u r v}=\hat{I}_{y}^{s u r v} \exp \left(\varepsilon_{y}^{s u r v}\right) \quad \text { or } \quad \varepsilon_{y}^{s u r v}=\ell \mathrm{n}\left(I_{y}^{s u r v}\right)-\ell \mathrm{n}\left(\hat{I}_{y}^{s u r v}\right) \tag{A1.15}
\end{equation*}
$$

where
$I_{y}^{s u r v}$ is the survey index for survey surv in year $y$,
$\hat{I}_{y}^{\text {surv }}=\hat{q}^{\text {surv }} \hat{B}_{y}^{\text {surv }}$ is the corresponding model estimate, where
$\hat{q}^{\text {surv }} \quad$ is the constant of proportionality (catchability) for the survey biomass series surv, and
$\varepsilon_{y}^{\text {surv }} \quad$ from $N\left(0,\left(\sigma_{y}^{\text {surv }}\right)^{2}\right)$.

The contribution of the survey biomass data to the negative of the log-likelihood function (after removal of constants) is then given by:
$-\ell \mathrm{n} L^{\text {survey }}=\sum_{\text {surv }} \sum_{y}\left\{\ln \left(\sqrt{\left(\sigma_{y}^{\text {surv }}\right)^{2}+\left(\sigma_{\text {Add }}^{\text {surv }}\right)^{2}}\right)+\left(\varepsilon_{y}^{\text {surv }}\right)^{2} /\left[2\left(\left(\sigma_{y}^{\text {surv }}\right)^{2}+\left(\sigma_{\text {Add }}^{\text {surv }}\right)^{2}\right)\right]\right\}$
where
$\sigma_{y}^{\text {surv }}$ is the standard deviation of the residuals for the logarithm of index $i$ in year $y$ (which are input), and
$\sigma_{A d d}^{s u r v}$ is the square root of the additional variance for survey biomass series surv, which is estimated in the model fitting procedure, with an upper bound of 0.5 .

The catchability coefficient $q^{\text {surv }}$ for survey biomass index surv is estimated by its maximum likelihood value:

$$
\begin{equation*}
\ln \hat{q}^{\text {surv }}=1 / n_{s u r v} \sum_{y}\left(\ln I_{y}^{\text {surv }}-\ln \hat{B}_{y}^{s u r v}\right) \tag{A1.17}
\end{equation*}
$$

## A1.2.3. Commercial catches-at-age

The contribution of the catch-at-age data to the negative of the log-likelihood function under the assumption of an "adjusted" lognormal error distribution is given by:

$$
\begin{equation*}
-\ln L^{\mathrm{CAA}}=\sum_{y} \sum_{a}\left\lfloor\ln \left(\sigma_{a}^{c o m} / \sqrt{p_{y, a}}\right)+p_{y, a}\left(\ln p_{y, a}-\ln \hat{p}_{y, a}\right)^{2} / 2\left(\sigma_{a}^{c o m}\right)^{2}\right\rfloor \tag{A1.18}
\end{equation*}
$$

where
$p_{y, a}=C_{y, a} / \sum_{a^{\prime}} C_{y, a^{\prime}}$ is the observed proportion of fish caught in year $y$ that are of age $a$,
$\hat{p}_{y, a}=\hat{C}_{y, a} / \sum_{a^{\prime}} \hat{C}_{y, a^{\prime}}$ is the model-predicted proportion of fish caught in year $y$ that are of age $a$,
where
$\hat{C}_{y, a}=N_{y, a} S_{y, a} F_{y}\left(1-e^{-Z_{y, a}}\right) / Z_{y, a}$
and
$\sigma_{a}^{c o m}$ is the standard deviation associated with the catch-at-age data, which is estimated in the fitting procedure by:
$\hat{\sigma}_{a}^{c o m}=\sqrt{\sum_{y} p_{y, a}\left(\ln p_{y, a}-\ln \hat{p}_{y, a}\right)^{2} / \sum_{y} 1}$
Commercial catches-at-age are incorporated in the likelihood function using equation (A1.18), for which the summation over age $a$ is taken from age $a_{\text {minus }}$ (considered as a minus group) to $a_{\text {plus }}$ (a plus group).

In addition to this "adjusted" lognormal error distribution, some computations use an alternative "sqrt(p)" formulation, for which equation A1.18 is modified to:
$-\ell \mathrm{n} L^{\mathrm{CAA}}=\sum_{y} \sum_{a}\left[\ln \left(\sigma_{a}^{c o m}\right)+\left(\sqrt{p_{y, a}}-\sqrt{\hat{p}_{y, a}}\right)^{2} / 2\left(\sigma_{a}^{\mathrm{com}}\right)^{2}\right]$
and equation A1.20 is adjusted similarly:

$$
\begin{equation*}
\hat{\sigma}_{a}^{c o m}=\sqrt{\sum_{y}\left(\sqrt{p_{y, a}}-\sqrt{\hat{p}_{y, a}}\right)^{2} / \sum_{y} 1} \tag{A1.22}
\end{equation*}
$$

This formulation mimics a multinomial form for the error distribution by forcing a near-equivalent variance-mean relationship for the error distributions.

## A1.2.4. Survey catches-at-age

The survey catches-at-age are incorporated into the negative of the log-likelihood in an analogous manner to the commercial catches-at-age, assuming an "adjusted" lognormal error distribution (equation (A1.18)) where:
$p_{y, a}^{\text {surv }}=C_{y, a}^{\text {surv }} / \sum_{a} C_{y, a^{\prime}}^{\text {surv }}$ is the observed proportion of fish of age $a$ in year $y$ for survey surv,
$\hat{p}_{y, a}^{\text {surv }}$ is the expected proportion of fish of age $a$ in year $y$ in the survey surv, given by:
$\hat{p}_{y, a}^{\text {surv }}=S_{a}^{\text {surv }} N_{y, a} e^{-Z_{y, a} a^{\text {surv }} / 12} / \sum_{a^{\prime}=1}^{m} S_{a^{\prime}}^{\text {surv }} N_{y, a a^{\prime}} e^{-Z_{y, a} T^{\text {sur }} / 12}$
RCp uses the "adjusted log-normal" formulation for the error distribution of the commercial
catch proportions-at-age and survey catch proportions-at-age. catch proportions-at-age and survey catch proportions-at-age.

## A1.2.5. Survey catches-at-length

In some runs, catches-at-length are also incorporated in the likelihood function. These data are incorporated in the similar manner as the catches-at-age. When the model is fit to catches-at-length, the predicted catches-at-age are converted to catches-at-length:

$$
\begin{equation*}
\hat{p}_{y, l}^{\text {surv }}=\sum_{a} \hat{p}_{y, a}^{\text {surv }} A_{a, l}^{\text {strt }} \tag{A1.24}
\end{equation*}
$$

for the spring survey, and
$\hat{p}_{y, l}^{\text {surv }}=\sum_{a} \hat{p}_{y, a}^{\text {surv }} A_{a, l}^{\text {mid }}$
for the fall survey,
where $A_{a, l}^{\text {strt }}$ and $A_{a, l}^{\text {mid }}$ are the proportions of fish of age $a$ that fall in the length group $l$ (i.e., $\sum_{l} A_{a, l}^{s t r t}=1$ and $\sum_{l} A_{a, l}^{\text {mid }}=1$ for all ages) at the beginning of the year and at the middle of the year respectively.
The matrices $A_{a, l}^{s t r t}$ and $A_{a, l}^{\text {mid }}$ are calculated under the assumption that length-at-age is normally distributed about a mean given by the von Bertalanffy equation, i.e.:
$L_{a}^{s t r t} \sim N\left[L_{\infty}\left(1-e^{-\kappa\left(a-t_{o}\right)}\right) ;\left(\theta_{a}^{s t r t}\right)^{2}\right]$
for the spring survey and
$L_{a}^{\text {mid }} \sim N\left[L_{\infty}\left(1-e^{-\kappa\left(a+0.5-t_{o}\right)}\right) ;\left(\theta_{a}^{\text {mid }}\right)^{2}\right]$
for the fall survey,
where
$\theta_{a}^{\text {strt }}$ and $\theta_{a}^{\text {mid }}$ are the standard deviation of begin and mid-year length-at-age $a$ respectively, which are modelled to be proportional to the expected length-at-age $a$, i.e.:
$\theta_{a}^{s t r t}=\beta\left[L_{\infty}\left(1-e^{-\kappa\left(a-t_{o}\right)}\right)\right]$
and
$\theta_{a}^{\text {mid }}=\beta\left[L_{\infty}\left(1-e^{-\kappa\left(a+0.5-t_{o}\right)}\right)\right]$
with $\beta$ an estimable parameter.
$L_{\infty}=189 \mathrm{~cm}$,
$\kappa=0.0815 y r^{-1}$,
$t_{o}=0.0627 y r$,

The following term is then added to the negative log-likelihood:
$-\ell \mathrm{n} L^{\mathrm{CAL}}=w_{\text {len }} \sum_{\text {surv }} \sum_{y} \sum_{l}\left\lfloor\ln \left(\sigma_{\text {len }}^{\text {surv }} / \sqrt{p_{y, l}^{\text {surv }}}\right)+p_{y, l}^{\text {surv }}\left(\ln p_{y, l}^{\text {surv }}-\ln \hat{p}_{y, l}^{\text {surv }}\right)^{2} / 2\left(\sigma_{\text {len }}^{\text {surv }}\right)^{2}\right\rfloor$
The $w_{l e n}$ weighting factor may be set to a value less than 1 to downweight the contribution of the catch-at-length data (which tend to be positively correlated between adjacent length groups because the length distributions for adjacent ages overlap) to the overall negative log-likelihood compared to that of the CPUE data.

## RCp does not incorporate any catch-at-length data.

## A1.2.6. Stock-recruitment function residuals

The stock-recruitment residuals are assumed to be lognormally distributed. Thus, the contribution of the recruitment residuals to the negative of the (now penalised) log-likelihood function is given by:
$-\ell n L^{\text {pen }}=\sum_{y=y_{1}+1}^{y_{2}}\left[\varepsilon_{y}^{2} / 2 \sigma_{R}^{2}\right]$
where
$\varepsilon_{y} \quad$ from $N\left(0,\left(\sigma_{R}\right)^{2}\right)$,
$\sigma_{\mathrm{R}} \quad$ is the standard deviation of the log-residuals, which is input.

Equation A1.31 is used when the stock-recruitment curve is estimated internally. In some analyses reported in this paper where BRP estimates are based on stock-recruitment curves estimated
"externally" using the assessment outputs, this "stock-recruitment" term is included for the last two years only, simply to stabilise these estimates which are not well determined by the other data. In these cases, the $\varepsilon_{y}$ are calculated as the deviations from the mean $\log$ recruitment for the ten preceding years, i.e. recruitment estimates for 2010 and 2011 are shrunk towards the geometric mean recruitment over the preceding decade.

## A1.2.7. Catches

$-\ell n L^{\mathrm{Catch}}=\sum_{y}\left[\frac{\ln C_{y}-\ln \hat{C}_{y}}{2 \sigma_{\mathrm{C}}^{2}}\right]$
where
$C_{y}$ is the observed catch in year $y$,
$\hat{C}_{y}$ is the predicted catch in year $y$ (equation A1.7), and
$\sigma_{\mathrm{C}}$ is the CV input: 0.5 for pre-1964 catches, 0.3 for catches between 1964 and 1981 and 0.1 for catches from 1982 onwards.

## A1.2.8 Incorporation of Bigelow vs Albatross survey calibration

The survey data provided are adjusted for the years 2009 to 2011 which were obtained from Bigelow surveys; these have been adjusted to "Albatross equivalents" through use of calibration factors estimated independently from paired tow experiments (Miller et al., 2010). However the survey data before and after the switch of vessels also provide information on the calibration factors because they sample the same cohorts. Incorporation of this information in assessments in this paper has been effected by treating the estimate with its variance as a form of "prior" which is effectively updated in the penalised likelihood estimation when fitting the model. The following contribution is therefore added as a penalty (or a prior in a Bayesian contact) to the negative log-likelihood in the assessment:
$-\ln L^{\text {calib }}=(\Delta \ln \hat{q}-\Delta \ln q)^{2} / 2 \sigma_{\Delta \ln q}^{2}$
where
$\Delta \ln q=\ln (2.235)$ is the logged ratio of the catchability of the Bigelow to the Albatross, with standard error $\sigma_{\Delta \ln q}=0.173 / 2.235$,
$\Delta \ln \hat{q}$ is the logged ratio of the catchabilities, estimated directly in the fitting procedure, where
$q_{B i g}^{S p r / A u t}=e^{\Delta \ln \hat{q}} q_{A l b}^{S p r / A u t}$.

In RCp, the calibration parameters are fixed to those estimated by Miller et al. (2010).

## A1.3. Estimation of precision

Where quoted, CV's or $95 \%$ probability interval estimates are based on the Hessian.

## A1.4. Model parameters

A1.4.1. Fishing selectivity-at-age:
For the NEFSC offshore surveys, the fishing selectivities are estimated separately for ages 1 to age 7 . The estimated proportional decrease from ages 6 to 7 is assumed to continue multiplicatively to age $9+$; this decrease parameter is bounded by 0 , i.e. no increase is permitted.

The commercial fishing selectivity, $S_{a}$, is estimated separately for ages $a_{\text {minus }}$ (1) to 6 , and is taken to be flat thereafter. It is taken to differ over two periods: a) pre-1997, and b) 1998-present. The selectivities are estimated directly for each period.

## A1.4.2. Other parameters

| Stock-recruit standard dev. |  |
| :---: | :---: |
| $\sigma_{R}$ | 0.5 |
| Model plus group |  |
| $m$ | 9 |
| Commercial CAA |  |
| $a_{\text {minus }} *$ | 1 |
| $a_{\text {plus }}$ | 7 |
| Survey CAA | NEFSC spr NEFSC fall |
| $a_{\text {minus }} *$ | $1 \quad 1$ |
| $a_{\text {plus }}$ | $7 \begin{array}{ll}7\end{array}$ |
| Natural mortality: |  |
| $M$ | 0.2 and age independent |
| Proportion mature-at-age: |  |
| $f_{a}$ | input, see Table A2.7 |
| Weight-at-age: |  |
| $w_{y, a}{ }^{\text {strt }}$ | input, see Table A2.2 |
| $w_{y, a}{ }^{\text {mid }}$ | input, see Table A2.3 |
| Initial conditions for a 1963 starting year: |  |
| $\begin{aligned} & \theta \\ & \phi \end{aligned}$ | estimated estimated |

* Strictly not a minus group anymore since the catches at age zero are ignored.


## A1.5.Biological Reference Points (BRPs)

It is possible to estimate BRPs internally within the assessment by fitting the stock-recruitment relationship directly within the assessment itself. The $F_{\text {MSY }}$ estimate is obtained by using a bisection routine to find where the derivative of the equilibrium catch vs $F$ relationship has a zero derivative. This has to be based on point estimates, so that the estimate of other BRPs are conditional on this point estimate of $F_{\text {MSY }}$, with no Hessian based CV available for this quantity.

For some results reported here, however, the stock-recruitment relationships are fitted to the estimates of recruitment and spawning biomass provided by the various assessments to provide a basis to estimate BRPs. The rationale for estimation external to the assessment itself is to avoid assumptions about the form of the relationship influencing the assessment results. These fits are achieved by minimising the following negative log-likelihood, where the $e^{-\frac{\sigma_{R}^{2}}{2}}$ term is added for consistency with equation A1.4, i.e. the stock-recruitment curves estimated are mean-unbiased rather than median unbiased:
$-\ln L=\sum_{y=y}^{2009}\left[\frac{\left(\ln \left(N_{y, 1}\right)-\ln \left(\hat{N}_{y, 1} e^{-\frac{\sigma_{R}^{2}}{2}}\right)\right)^{2}}{2\left(\left(\sigma_{R}\right)^{2}+\left(C V_{y}\right)^{2}\right)}\right]$
where
$N_{y, 1}$ is the "observed" (assessment estimated) recruitment in year $y$,
$\hat{N}_{y, 1}$ is the stock-recruitment model predicted recruitment in year $y$,
$\sigma_{R} \quad$ is the standard deviation of the log-residuals which is input (and set here to 0.5 ), and
$C V_{\mathrm{y}}$ is the Hessian-based CV for the "observed" recruitment in year $y$.
Note that the differential precision of the assessment estimates of recruitment is taken into account, and that the summation ends at 2009 because little by way of direct observation is as yet available to inform estimates of recruitment for 2010 and 2011.

## References

Miller TJ, Das, C, Politis PJ, Miller AS, Lucey SM, Legault CM, Brown RW and Rago PJ. 2010. Estimation of Albatross IV to Henry B. Bigelow Calibration Factors. U.S. Depart. of Commerce, Northeast Fisheries Science Center Ref. Doc. 10-05; 233 pp.

## Appendix A2

Data used in the Georges Bank/Gulf of Maine White hake SCAA assessment

Table A2.1: Total catch in tons of Georges Bank/Gulf of Maine white hake, 1950-2011.

| Year | Total catch | Year | Total catch | Year | Total catch |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1950 | 5492.3 | 1971 | 3756.6 | 1992 | 10414.4 |
| 1951 | 5551.6 | 1972 | 3911.3 | 1993 | 10666.4 |
| 1952 | 5428.7 | 1973 | 4025.5 | 1994 | 6091.5 |
| 1953 | 4665.3 | 1974 | 4748.0 | 1995 | 5121.6 |
| 1954 | 3841.5 | 1975 | 4575.2 | 1996 | 3885.0 |
| 1955 | 3528.6 | 1976 | 5130.4 | 1997 | 2665.7 |
| 1956 | 2933.0 | 1977 | 6455.2 | 1998 | 2747.0 |
| 1957 | 2605.9 | 1978 | 6232.0 | 1999 | 3741.3 |
| 1958 | 2026.2 | 1979 | 5550.7 | 2000 | 3438.3 |
| 1959 | 2372.3 | 1980 | 6406.2 | 2001 | 4039.5 |
| 1960 | 2624.1 | 1981 | 7665.3 | 2002 | 3548.8 |
| 1961 | 2365.1 | 1982 | 8322.9 | 2003 | 4887.7 |
| 1962 | 3262.1 | 1983 | 8539.2 | 2004 | 3721.2 |
| 1963 | 3561.0 | 1984 | 9011.4 | 2005 | 2848.9 |
| 1964 | 4424.5 | 1985 | 9403.8 | 2006 | 1854.2 |
| 1965 | 4050.2 | 1986 | 8406.2 | 2007 | 1621.9 |
| 1966 | 2892.6 | 1987 | 7786.5 | 2008 | 1551.0 |
| 1967 | 2324.3 | 1988 | 7041.6 | 2009 | 1874.0 |
| 1968 | 2445.9 | 1989 | 6786.0 | 2010 | 2014.4 |
| 1969 | 2397.6 | 1990 | 7586.5 | 2011 | 3039.0 |
| 1970 | 3077.3 | 1991 | 6718.7 |  |  |

Table A2.2: Mean spawning weight-at-age (kg) for the Georges Bank/Gulf of Maine white hake stock. Pre-1989, the 1989-2011 average mean weight-at-age is assumed.

|  | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | $9+$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1989 | 0.1078 | 0.228 | 0.669 | 1.469 | 2.411 | 3.479 | 4.987 | 5.770 | 9.204 |
| 1990 | 0.1309 | 0.247 | 0.577 | 1.336 | 2.462 | 3.632 | 4.578 | 6.282 | 11.713 |
| 1991 | 0.1033 | 0.313 | 0.705 | 1.246 | 2.092 | 3.181 | 4.147 | 5.702 | 10.855 |
| 1992 | 0.0783 | 0.280 | 0.670 | 1.259 | 2.139 | 3.327 | 4.311 | 5.202 | 10.594 |
| 1993 | 0.0923 | 0.200 | 0.675 | 1.293 | 2.109 | 3.227 | 4.010 | 5.652 | 10.882 |
| 1994 | 0.0818 | 0.224 | 0.562 | 1.256 | 2.214 | 3.339 | 4.432 | 5.724 | 9.403 |
| 1995 | 0.1164 | 0.321 | 0.669 | 1.285 | 2.016 | 2.850 | 4.055 | 5.352 | 6.960 |
| 1996 | 0.1054 | 0.259 | 0.700 | 1.415 | 2.208 | 2.998 | 3.689 | 5.674 | 7.870 |
| 1997 | 0.1216 | 0.216 | 0.603 | 1.348 | 2.224 | 3.169 | 4.087 | 5.255 | 7.844 |
| 1998 | 0.1039 | 0.219 | 0.606 | 1.477 | 2.505 | 3.561 | 4.313 | 5.548 | 7.316 |
| 1999 | 0.0839 | 0.244 | 0.505 | 1.367 | 2.298 | 3.477 | 4.634 | 5.758 | 7.815 |
| 2000 | 0.093 | 0.174 | 0.499 | 1.268 | 2.222 | 3.017 | 4.352 | 5.764 | 7.239 |
| 2001 | 0.1604 | 0.185 | 0.665 | 1.224 | 2.246 | 3.310 | 4.411 | 5.601 | 7.528 |
| 2002 | 0.2744 | 0.518 | 0.786 | 1.787 | 2.436 | 3.363 | 4.215 | 5.260 | 6.847 |
| 2003 | 0.0815 | 0.327 | 0.839 | 1.788 | 2.734 | 3.761 | 4.673 | 5.402 | 7.054 |
| 2004 | 0.1387 | 0.254 | 0.573 | 1.509 | 2.727 | 3.827 | 5.284 | 6.153 | 8.078 |
| 2005 | 0.0603 | 0.284 | 0.724 | 1.486 | 2.597 | 3.709 | 4.756 | 6.358 | 8.804 |
| 2006 | 0.1167 | 0.194 | 0.661 | 1.579 | 2.757 | 3.704 | 4.601 | 5.868 | 10.071 |
| 2007 | 0.1098 | 0.248 | 0.658 | 1.507 | 2.675 | 3.764 | 4.669 | 5.613 | 10.680 |
| 2008 | 0.0763 | 0.218 | 0.566 | 1.477 | 2.592 | 3.675 | 4.568 | 5.527 | 9.580 |
| 2009 | 0.0814 | 0.235 | 0.630 | 1.376 | 2.508 | 3.736 | 4.589 | 5.402 | 7.239 |
| 2010 | 0.0872 | 0.229 | 0.625 | 1.500 | 2.506 | 3.578 | 4.635 | 5.582 | 10.914 |
| 2011 | 0.1355 | 0.303 | 0.934 | 1.749 | 2.739 | 3.614 | 4.610 | 5.955 | 8.887 |

Table A2.3: Mean weight-at-age (kg) of landings for the Georges Bank/Gulf of Maine white hake stock. Pre-1989, the 1989-2011 average mean weight-at-age is assumed.

|  | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | $9+$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1989 | 0.142 | 0.311 | 0.842 | 1.745 | 2.764 | 3.812 | 5.386 | 5.972 | 9.204 |
| 1990 | 0.175 | 0.325 | 0.786 | 1.682 | 2.925 | 4.164 | 5.017 | 6.785 | 11.713 |
| 1991 | 0.144 | 0.418 | 1.039 | 1.568 | 2.333 | 3.317 | 4.139 | 6.079 | 10.855 |
| 1992 | 0.107 | 0.390 | 0.849 | 1.385 | 2.499 | 3.973 | 4.914 | 5.831 | 10.594 |
| 1993 | 0.124 | 0.273 | 0.887 | 1.595 | 2.602 | 3.667 | 4.029 | 6.061 | 10.882 |
| 1994 | 0.129 | 0.301 | 0.807 | 1.494 | 2.608 | 3.782 | 4.873 | 6.822 | 9.402 |
| 1995 | 0.152 | 0.506 | 0.998 | 1.621 | 2.341 | 2.980 | 4.199 | 5.609 | 6.960 |
| 1996 | 0.134 | 0.338 | 0.823 | 1.685 | 2.576 | 3.393 | 4.105 | 6.595 | 7.870 |
| 1997 | 0.148 | 0.275 | 0.805 | 1.725 | 2.555 | 3.515 | 4.485 | 5.945 | 7.844 |
| 1998 | 0.138 | 0.267 | 0.900 | 2.000 | 3.019 | 4.204 | 4.777 | 6.170 | 7.316 |
| 1999 | 0.107 | 0.324 | 0.694 | 1.685 | 2.463 | 3.732 | 4.865 | 6.322 | 7.815 |
| 2000 | 0.117 | 0.222 | 0.619 | 1.713 | 2.551 | 3.339 | 4.700 | 6.274 | 7.239 |
| 2001 | 0.237 | 0.233 | 1.150 | 1.721 | 2.572 | 3.770 | 5.069 | 6.114 | 7.528 |
| 2002 | 0.291 | 0.765 | 1.444 | 2.228 | 2.898 | 3.845 | 4.456 | 5.358 | 6.847 |
| 2003 | 0.119 | 0.347 | 0.879 | 1.990 | 3.028 | 4.285 | 5.151 | 5.948 | 7.054 |
| 2004 | 0.176 | 0.371 | 0.737 | 1.977 | 3.193 | 4.303 | 5.868 | 6.724 | 8.078 |
| 2005 | 0.089 | 0.360 | 1.012 | 2.111 | 2.977 | 3.997 | 5.000 | 6.618 | 8.804 |
| 2006 | 0.150 | 0.286 | 0.895 | 1.973 | 3.150 | 4.131 | 4.936 | 6.357 | 10.071 |
| 2007 | 0.138 | 0.318 | 0.999 | 1.955 | 3.114 | 4.115 | 4.964 | 5.985 | 10.680 |
| 2008 | 0.111 | 0.274 | 0.754 | 1.795 | 2.985 | 3.993 | 4.813 | 5.832 | 9.579 |
| 2009 | 0.115 | 0.342 | 0.955 | 1.858 | 2.965 | 4.180 | 4.919 | 5.723 | 7.239 |
| 2010 | 0.132 | 0.324 | 0.844 | 1.879 | 2.910 | 3.930 | 4.881 | 5.946 | 10.914 |
| 2011 | 0.1719 | 0.4583 | 1.5847 | 2.5175 | 3.307 | 4.0266 | 4.9934 | 6.578 | 8.8866 |

Table A2.4: Commercial catches-at-age for the Georges Bank/Gulf of Maine white hake stock (numbers in thousands).

|  | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | $9+$ |
| ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| 1989 | 704.657 | 1721.190 | 1318.864 | 1047.771 | 598.294 | 260.891 | 40.002 | 22.950 | 22.655 |
| 1990 | 708.689 | 3646.970 | 2516.495 | 1335.412 | 389.855 | 85.581 | 32.752 | 13.861 | 25.438 |
| 1991 | 417.288 | 410.848 | 1339.447 | 1337.178 | 760.057 | 176.123 | 40.613 | 14.854 | 35.112 |
| 1992 | 200.935 | 346.926 | 2800.851 | 2152.040 | 812.078 | 364.664 | 179.515 | 41.159 | 27.864 |
| 1993 | 1446.219 | 2533.121 | 2168.931 | 2340.345 | 883.467 | 305.951 | 101.477 | 12.655 | 21.151 |
| 1994 | 165.092 | 350.192 | 1075.562 | 1142.291 | 607.259 | 258.338 | 87.157 | 28.960 | 22.106 |
| 1995 | 105.715 | 470.224 | 1864.686 | 790.500 | 335.624 | 171.658 | 29.206 | 24.542 | 24.170 |
| 1996 | 44.522 | 138.835 | 566.763 | 529.220 | 425.035 | 260.671 | 68.822 | 14.591 | 14.715 |
| 1997 | 10.826 | 152.295 | 122.597 | 237.128 | 324.886 | 195.546 | 80.458 | 21.720 | 13.654 |
| 1998 | 60.787 | 213.954 | 142.486 | 200.748 | 192.924 | 167.853 | 97.697 | 38.063 | 22.157 |
| 1999 | 1524.689 | 830.616 | 362.074 | 279.935 | 289.884 | 172.422 | 98.442 | 73.698 | 35.659 |
| 2000 | 30.455 | 113.754 | 133.143 | 264.809 | 272.019 | 169.849 | 86.240 | 92.016 | 86.985 |
| 2001 | 27.763 | 162.883 | 388.145 | 378.075 | 249.338 | 218.729 | 117.685 | 69.861 | 54.252 |
| 2002 | 18.508 | 86.469 | 253.395 | 437.733 | 233.756 | 186.818 | 93.915 | 41.288 | 14.391 |
| 2003 | 414.710 | 241.762 | 114.536 | 183.000 | 242.814 | 281.445 | 189.034 | 130.440 | 83.663 |
| 2004 | 87.778 | 83.772 | 87.776 | 106.495 | 170.111 | 190.805 | 148.909 | 81.347 | 75.957 |
| 2005 | 255.187 | 46.473 | 66.361 | 121.002 | 162.549 | 139.496 | 74.835 | 65.436 | 71.931 |
| 2006 | 44.292 | 73.335 | 49.977 | 78.428 | 93.786 | 100.048 | 53.395 | 32.974 | 44.154 |
| 2007 | 16.412 | 26.768 | 47.718 | 87.961 | 96.224 | 90.845 | 47.084 | 25.293 | 31.136 |
| 2008 | 89.684 | 99.423 | 151.011 | 134.132 | 115.150 | 88.443 | 40.048 | 19.601 | 16.074 |
| 2009 | 49.598 | 54.844 | 136.986 | 148.408 | 117.907 | 108.041 | 65.096 | 35.578 | 16.164 |
| 2010 | 12.005 | 32.828 | 71.881 | 128.573 | 139.701 | 114.691 | 55.862 | 34.758 | 33.282 |
| 2011 | 12.877 | 20.112 | 75.819 | 161.054 | 148.220 | 148.544 | 85.615 | 55.143 | 70.114 |

Table A2.5: Mean numbers per tow at age (in bold for the years in which a pooled ALK was used), mean weight ( kg ) per tow and mean numbers per tow of white hake in NEFSC offshore spring research vessel bottom trawl survey.

|  | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9+ | Mean wt/tow (kg) | CV | numbers <br> tow | CV |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1968 | 0.1054 | 0.3564 | 0.6468 | 0.3118 | 0.0920 | 0.0548 | 0.0216 | 0.0182 | 0.0235 | 1.937 | (27.0) | 1.631 | (21.2) |
| 1969 | 0.1497 | 1.0233 | 1.4013 | 0.6956 | 0.3596 | 0.1174 | 0.0864 | 0.0516 | 0.1332 | 5.848 | (19.6) | 4.018 | (23.6) |
| 1970 | 0.1457 | 1.2249 | 1.7268 | 1.3533 | 1.2801 | 0.6096 | 0.1204 | 0.0704 | 0.1199 | 13.813 | (39.8) | 6.651 | (21.1) |
| 1971 | 0.1133 | 0.5923 | 1.0283 | 1.0065 | 0.5378 | 0.2012 | 0.0877 | 0.0686 | 0.0474 | 5.930 | (21.0) | 3.683 | (18.5) |
| 1972 | 0.3619 | 3.1629 | 3.6084 | 1.8274 | 1.4844 | 0.6803 | 0.1993 | 0.1177 | 0.1102 | 14.583 | (27.0) | 11.553 | (17.8) |
| 1973 | 0.2031 | 1.4711 | 4.2293 | 2.7303 | 1.0648 | 0.4546 | 0.1574 | 0.1056 | 0.1279 | 14.016 | (20.9) | 10.544 | (24.1) |
| 1974 | 0.1132 | 0.8389 | 2.0964 | 2.6523 | 1.9021 | 0.7792 | 0.1849 | 0.1164 | 0.1251 | 16.068 | (18.2) | 8.809 | (16.5) |
| 1975 | 1.1411 | 1.9486 | 2.8729 | 1.4396 | 1.0646 | 0.5664 | 0.1425 | 0.0749 | 0.0598 | 11.591 | (17.1) | 9.313 | (19.8) |
| 1976 | 0.2579 | 1.6218 | 3.5215 | 2.3277 | 1.8245 | 1.0120 | 0.2732 | 0.1561 | 0.2077 | 19.616 | (23.4) | 11.202 | (16.2) |
| 1977 | 0.0985 | 0.7411 | 1.9635 | 2.1551 | 1.0247 | 0.5553 | 0.1575 | 0.0746 | 0.1908 | 12.008 | (20.6) | 6.961 | (19.6) |
| 1978 | 0.1176 | 0.8397 | 0.8637 | 0.4970 | 0.5621 | 0.2865 | 0.0748 | 0.0396 | 0.0863 | 6.254 | (22.1) | 3.367 | (19.2) |
| 1979 | 0.3146 | 1.8406 | 2.0077 | 0.9113 | 0.4282 | 0.2457 | 0.0602 | 0.0199 | 0.0277 | 5.693 | (25.1) | 5.856 | (18.7) |
| 1980 | 0.4296 | 1.4291 | 4.9698 | 2.7324 | 1.2775 | 0.6862 | 0.1749 | 0.1078 | 0.0883 | 15.607 | (16.7) | 11.896 | (15.5) |
| 1981 | 0.9692 | 5.8239 | 3.6857 | 3.6138 | 2.0111 | 1.1795 | 0.3302 | 0.1188 | 0.1554 | 21.612 | (30.1) | 17.888 | (15.4) |
| 1982 | 0.0488 | 0.8058 | 2.9733 | 0.9815 | 1.3927 | 0.2529 | 0.0614 | 0.0369 | 0.0814 | 10.031 | (23.9) | 6.635 | (24.3) |
| 1983 | 0.0592 | 1.0397 | 1.2285 | 0.5433 | 0.1752 | 0.0968 | 0.0453 | 0.0378 | 0.0000 | 3.232 | (16.9) | 3.226 | (16.5) |
| 1984 | 0.0225 | 0.2616 | 0.9816 | 0.6932 | 0.4667 | 0.1749 | 0.0723 | 0.0323 | 0.0091 | 4.605 | (26.8) | 2.714 | (19.7) |
| 1985 | 0.0234 | 0.7502 | 1.9720 | 1.2366 | 0.5065 | 0.1234 | 0.0364 | 0.0127 | 0.0460 | 6.056 | (22.1) | 4.707 | (16.9) |
| 1986 | 0.1082 | 3.3372 | 3.5906 | 1.0397 | 0.5213 | 0.2059 | 0.0000 | 0.0178 | 0.0000 | 6.083 | (15.1) | 8.821 | (12.1) |
| 1987 | 0.0106 | 1.4080 | 4.5032 | 1.2079 | 0.3526 | 0.1287 | 0.0120 | 0.0265 | 0.0449 | 7.079 | (15.2) | 7.695 | (13.7) |
| 1988 | 0.0917 | 1.6294 | 1.4568 | 0.8363 | 0.4970 | 0.1153 | 0.0410 | 0.0361 | 0.0071 | 4.103 | (12.4) | 4.711 | (11.3) |
| 1989 | 0.0282 | 1.1084 | 1.4652 | 0.3083 | 0.4127 | 0.1848 | 0.0247 | 0.0000 | 0.0000 | 3.440 | (32.6) | 3.532 | (24.8) |
| 1990 | 0.0698 | 1.8186 | 2.4924 | 4.9384 | 2.2076 | 0.3334 | 0.1450 | 0.1170 | 0.2013 | 20.805 | (74.5) | 12.323 | (48.4) |
| 1991 | 0.1428 | 2.9593 | 2.4882 | 2.0192 | 0.9302 | 0.3375 | 0.0395 | 0.0170 | 0.0405 | 6.813 | (17.8) | 9.015 | (13.4) |
| 1992 | 0.0056 | 0.9796 | 2.9314 | 3.4555 | 0.3591 | 0.0942 | 0.0376 | 0.0095 | 0.0000 | 7.485 | (26.3) | 7.872 | (18.4) |
| 1993 | 0.0402 | 1.6917 | 3.3089 | 2.5792 | 0.4750 | 0.0258 | 0.0023 | 0.0011 | 0.0000 | 7.584 | (18.0) | 8.124 | (16.3) |
| 1994 | 0.0388 | 1.4473 | 1.9586 | 0.7251 | 0.2224 | 0.0862 | 0.0093 | 0.0256 | 0.0000 | 3.415 | (25.3) | 4.513 | (15.8) |
| 1995 | 0.1125 | 0.7682 | 1.9574 | 0.7850 | 0.2755 | 0.1753 | 0.0386 | 0.0726 | 0.0000 | 4.283 | (19.5) | 4.185 | (15.8) |
| 1996 | 0.2299 | 0.4709 | 1.0625 | 0.5774 | 0.4682 | 0.0973 | 0.0248 | 0.0365 | 0.0409 | 3.426 | (14.3) | 3.009 | (12.9) |
| 1997 | 0.0429 | 0.7240 | 0.7884 | 0.2650 | 0.0545 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.893 | (18.7) | 1.875 | (17.6) |
| 1998 | 0.0144 | 1.0234 | 0.9315 | 0.1752 | 0.0717 | 0.0163 | 0.0000 | 0.0000 | 0.0000 | 1.168 | (19.0) | 2.233 | (16.3) |
| 1999 | 0.0449 | 0.6021 | 1.5300 | 0.5961 | 0.4177 | 0.0898 | 0.0538 | 0.0091 | 0.0000 | 3.095 | (37.0) | 3.344 | (25.4) |
| 2000 | 0.0885 | 1.5095 | 2.5822 | 0.8024 | 0.2790 | 0.0900 | 0.0141 | 0.0000 | 0.0000 | 3.692 | (15.9) | 5.366 | (14.0) |
| 2001 | 0.0582 | 0.4947 | 2.1425 | 1.4781 | 0.4575 | 0.1815 | 0.0182 | 0.0361 | 0.0447 | 5.210 | (15.2) | 4.912 | (13.1) |
| 2002 | 0.6856 | 1.0976 | 0.7497 | 1.7406 | 0.8154 | 0.1171 | 0.0684 | 0.0537 | 0.0141 | 6.605 | (25.3) | 5.342 | (21.1) |
| 2003 | 0.9350 | 1.2200 | 1.0798 | 0.7800 | 0.6762 | 0.4278 | 0.1588 | 0.0387 | 0.0205 | 6.203 | (15.4) | 5.337 | (13.4) |
| 2004 | 0.6236 | 0.5035 | 1.7796 | 1.0232 | 0.5166 | 0.1262 | 0.0926 | 0.0496 | 0.0323 | 5.477 | (39.2) | 4.747 | (22.2) |
| 2005 | 0.2003 | 0.8331 | 1.0081 | 0.8291 | 0.3969 | 0.1433 | 0.1247 | 0.1520 | 0.0644 | 5.763 | (26.0) | 3.752 | (15.7) |
| 2006 | 0.3141 | 1.0863 | 0.6427 | 0.2305 | 0.1339 | 0.0524 | 0.0106 | 0.0286 | 0.0047 | 1.586 | (20.4) | 2.504 | (11.7) |
| 2007 | 0.0662 | 0.4980 | 1.0439 | 0.6306 | 0.2300 | 0.1056 | 0.0426 | 0.0169 | 0.0226 | 3.099 | (29.5) | 2.656 | (17.0) |
| 2008 | 0.4794 | 2.4547 | 2.2602 | 1.2944 | 0.2714 | 0.0924 | 0.0151 | 0.0059 | 0.0033 | 4.246 | (32.3) | 6.877 | (23.6) |
| 2009 | 0.5610 | 2.0851 | 2.3311 | 1.3242 | 0.3268 | 0.1034 | 0.0193 | 0.0059 | 0.0019 | 4.767 | (25.0) | 6.759 | (18.8) |
| 2010 | 0.2167 | 1.2915 | 1.7700 | 1.4127 | 0.4970 | 0.1531 | 0.0525 | 0.0141 | 0.0038 | 5.652 | (19.6) | 5.411 | (13.1) |
| 2011 | 0.6882 | 1.2204 | 1.4323 | 0.9760 | 0.3904 | 0.2724 | 0.0761 | 0.0251 | 0.0084 | 5.521 | (16.0) | 5.095 | (13.3) |

Table A2.6: Mean numbers per tow at age (in bold for the years in which a pooled ALK was used), mean weight (kg) per tow and mean numbers per tow of white hake in NEFSC offshore autumn research vessel bottom trawl survey.

|  | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9+ | Mean wt/tow (kg) | CV | $\qquad$ | CV |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1963 | 0.9163 | 1.3741 | 1.4625 | 0.9796 | 0.3664 | 0.0749 | 0.0051 | 0.0112 | 0.1299 | 7.523 | (19.0) | 5.468 | (13.0) |
| 1964 | 0.1195 | 0.4005 | 0.5308 | 0.3294 | 0.1365 | 0.0799 | 0.0314 | 0.0107 | 0.1107 | 4.089 | (22.1) | 1.761 | (18.5) |
| 1965 | 0.3594 | 1.2753 | 1.2198 | 0.5949 | 0.3685 | 0.1976 | 0.0356 | 0.0174 | 0.0525 | 6.609 | (16.7) | 4.160 | (19.8) |
| 1966 | 0.9573 | 3.1449 | 1.9368 | 0.8422 | 0.3313 | 0.1005 | 0.0604 | 0.0118 | 0.0648 | 8.405 | (13.6) | 7.563 | (13.7) |
| 1967 | 0.7867 | 1.4079 | 1.0458 | 0.4231 | 0.1518 | 0.0617 | 0.0057 | 0.0020 | 0.0389 | 4.122 | (18.8) | 4.023 | (15.9) |
| 1968 | 0.8621 | 1.1342 | 1.3397 | 0.6417 | 0.1696 | 0.0534 | 0.0168 | 0.0048 | 0.0429 | 4.886 | (25.1) | 4.397 | (22.3) |
| 1969 | 1.7227 | 2.8094 | 2.5698 | 1.7583 | 0.6351 | 0.2271 | 0.0838 | 0.0419 | 0.0549 | 13.404 | (15.2) | 10.147 | (12.5) |
| 1970 | 0.7893 | 1.9781 | 2.9890 | 2.0001 | 0.5626 | 0.2600 | 0.0576 | 0.0225 | 0.0986 | 14.174 | (16.0) | 8.848 | (12.5) |
| 1971 | 2.9745 | 2.8858 | 2.2704 | 1.7146 | 0.4887 | 0.2189 | 0.0726 | 0.0350 | 0.1518 | 13.468 | (11.6) | 11.196 | (21.7) |
| 1972 | 2.0370 | 4.5116 | 4.7995 | 1.2971 | 0.6470 | 0.2319 | 0.0580 | 0.0293 | 0.1218 | 14.556 | (18.4) | 14.029 | (28.4) |
| 1973 | 0.9754 | 2.1076 | 3.5616 | 1.7131 | 0.6334 | 0.3390 | 0.0666 | 0.0539 | 0.2551 | 14.800 | (17.2) | 9.863 | (15.9) |
| 1974 | 0.4037 | 0.8835 | 1.5748 | 1.3019 | 0.6457 | 0.2650 | 0.0718 | 0.0331 | 0.1574 | 12.121 | (14.8) | 5.400 | (13.1) |
| 1975 | 0.5518 | 1.4646 | 1.6073 | 0.6914 | 0.3484 | 0.2253 | 0.0806 | 0.0212 | 0.0681 | 7.826 | (13.5) | 5.146 | (13.1) |
| 1976 | 0.2125 | 1.2977 | 2.8008 | 1.3331 | 0.6003 | 0.2681 | 0.0610 | 0.0335 | 0.1052 | 11.695 | (15.9) | 6.742 | (17.2) |
| 1977 | 1.8781 | 2.7485 | 2.8406 | 1.5926 | 0.5216 | 0.2906 | 0.1053 | 0.0554 | 0.1565 | 13.872 | (11.2) | 10.575 | (11.0) |
| 1978 | 0.8696 | 2.5364 | 2.3629 | 1.1517 | 0.5905 | 0.3003 | 0.1228 | 0.0512 | 0.1673 | 13.323 | (12.3) | 8.343 | (11.2) |
| 1979 | 0.2136 | 1.5249 | 1.9599 | 0.9664 | 0.4154 | 0.2372 | 0.0783 | 0.0255 | 0.1272 | 10.568 | (16.1) | 5.561 | (12.0) |
| 1980 | 1.6777 | 1.4929 | 3.6967 | 2.3634 | 1.0263 | 0.3539 | 0.1359 | 0.0609 | 0.1446 | 18.410 | (25.3) | 12.001 | (17.1) |
| 1981 | 0.5467 | 3.1291 | 1.9866 | 1.4891 | 0.7266 | 0.3310 | 0.1083 | 0.0511 | 0.0184 | 11.870 | (11.7) | 8.428 | (12.3) |
| 1982 | 0.3266 | 0.5433 | 0.6321 | 0.1867 | 0.1013 | 0.0589 | 0.0199 | 0.0000 | 0.0000 | 1.954 | (21.8) | 1.876 | (17.8) |
| 1983 | 0.5977 | 3.1534 | 2.8528 | 1.8063 | 0.2370 | 0.2625 | 0.0028 | 0.0000 | 0.0777 | 11.513 | (12.9) | 8.991 | (13.3) |
| 1984 | 0.3504 | 0.9706 | 2.1758 | 1.1276 | 0.3465 | 0.1040 | 0.0422 | 0.0116 | 0.0439 | 8.152 | (10.8) | 5.173 | (10.1) |
| 1985 | 3.2732 | 1.7677 | 2.0369 | 1.3962 | 0.4317 | 0.1232 | 0.0748 | 0.0082 | 0.0602 | 9.795 | (19.3) | 9.460 | (15.7) |
| 1986 | 1.2570 | 7.0940 | 4.3420 | 0.8370 | 0.4845 | 0.1536 | 0.0076 | 0.0024 | 0.0505 | 11.450 | (9.9) | 15.181 | (12.0) |
| 1987 | 0.5487 | 1.8369 | 3.7714 | 1.0967 | 0.2195 | 0.1118 | 0.0633 | 0.0743 | 0.0743 | 9.801 | (16.2) | 7.852 | (10.1) |
| 1988 | 0.5593 | 3.9489 | 2.1881 | 1.3588 | 0.3180 | 0.1032 | 0.0043 | 0.0003 | 0.0511 | 10.430 | (16.7) | 8.540 | (11.7) |
| 1989 | 3.3810 | 3.3155 | 3.7846 | 0.9140 | 0.3685 | 0.3513 | 0.0100 | 0.0084 | 0.0036 | 9.255 | (11.4) | 12.538 | (17.7) |
| 1990 | 1.9769 | 5.3091 | 3.8259 | 1.3655 | 0.3219 | 0.0382 | 0.0000 | 0.0013 | 0.0012 | 10.895 | (19.6) | 13.861 | (13.8) |
| 1991 | 1.1574 | 6.1843 | 4.3646 | 1.3777 | 0.3424 | 0.0479 | 0.0000 | 0.0075 | 0.0075 | 12.541 | (18.8) | 13.672 | (14.6) |
| 1992 | 0.4178 | 2.5760 | 5.8455 | 1.3604 | 0.1712 | 0.1117 | 0.0447 | 0.0365 | 0.0224 | 11.843 | (10.5) | 10.746 | (8.5) |
| 1993 | 0.6632 | 2.3969 | 4.3012 | 2.5471 | 0.4324 | 0.1128 | 0.0000 | 0.0000 | 0.0000 | 12.039 | (12.9) | 10.504 | (12.0) |
| 1994 | 1.0167 | 2.5558 | 2.4494 | 0.7570 | 0.1554 | 0.1116 | 0.0191 | 0.0000 | 0.0000 | 5.924 | (10.4) | 7.381 | (10.6) |
| 1995 | 0.5887 | 4.2878 | 2.8038 | 0.7044 | 0.1883 | 0.0035 | 0.1312 | 0.0024 | 0.0309 | 8.439 | (11.9) | 10.072 | (9.9) |
| 1996 | 0.3366 | 1.0406 | 1.5485 | 1.2708 | 0.3642 | 0.0314 | 0.0224 | 0.0283 | 0.0141 | 6.651 | (13.8) | 4.684 | (10.0) |
| 1997 | 1.7997 | 1.2606 | 0.9787 | 0.6282 | 0.2034 | 0.0606 | 0.0141 | 0.0224 | 0.0635 | 4.896 | (14.8) | 5.031 | (12.7) |
| 1998 | 0.4267 | 1.9725 | 1.6966 | 0.5376 | 0.1581 | 0.0839 | 0.0258 | 0.0181 | 0.0000 | 4.737 | (11.8) | 4.958 | (9.6) |
| 1999 | 2.4981 | 1.2990 | 1.1923 | 0.5449 | 0.1790 | 0.0686 | 0.0040 | 0.0000 | 0.0000 | 3.648 | (14.8) | 6.154 | (20.0) |
| 2000 | 0.5037 | 3.6025 | 2.0934 | 0.6905 | 0.3064 | 0.0994 | 0.0425 | 0.0418 | 0.0539 | 6.800 | (11.8) | 7.569 | (11.2) |
| 2001 | 0.2809 | 0.9877 | 2.0550 | 1.7167 | 0.3762 | 0.1513 | 0.0807 | 0.0000 | 0.0000 | 7.852 | (10.5) | 5.704 | (11.3) |
| 2002 | 1.1791 | 0.7503 | 1.0023 | 1.0124 | 0.1883 | 0.0744 | 0.0365 | 0.0000 | 0.0141 | 6.720 | (16.3) | 6.861 | (25.3) |
| 2003 | 1.1062 | 0.7510 | 0.9807 | 0.6469 | 0.1889 | 0.1391 | 0.0468 | 0.0040 | 0.0008 | 4.531 | (17.1) | 4.031 | (14.7) |
| 2004 | 0.5837 | 1.4422 | 0.6900 | 0.4022 | 0.1763 | 0.1647 | 0.0160 | 0.0076 | 0.0201 | 3.695 | (15.4) | 3.550 | (13.1) |
| 2005 | 0.7024 | 1.0585 | 0.8488 | 0.4992 | 0.2320 | 0.0643 | 0.0218 | 0.0158 | 0.0134 | 3.837 | (15.8) | 3.585 | (13.3) |
| 2006 | 0.6905 | 2.2920 | 0.9503 | 0.4448 | 0.1860 | 0.0613 | 0.0199 | 0.0048 | 0.0194 | 4.272 | (11.1) | 4.751 | (9.9) |
| 2007 | 0.4585 | 1.8082 | 2.9736 | 1.0390 | 0.1509 | 0.0462 | 0.0030 | 0.0008 | 0.0382 | 7.222 | (15.0) | 6.636 | (12.5) |
| 2008 | 0.8485 | 1.5986 | 2.0292 | 0.9903 | 0.1900 | 0.0872 | 0.0815 | 0.0455 | 0.0201 | 7.056 | (16.6) | 7.345 | (13.5) |
| 2009 | 0.9492 | 1.7533 | 1.7027 | 0.5845 | 0.1229 | 0.0450 | 0.0162 | 0.0033 | 0.0020 | 4.760 | (15.2) | 5.327 | (12.3) |
| 2010 | 1.6021 | 2.6998 | 1.9203 | 0.6548 | 0.1672 | 0.0581 | 0.0100 | 0.0139 | 0.0111 | 7.854 | (17.0) | 7.951 | (13.5) |
| 2011 | 0.6251 | 2.1497 | 2.0349 | 1.1169 | 0.4062 | 0.1619 | 0.0590 | 0.0341 | 0.0178 | 9.020 | (16.8) | 6.945 | (13.6) |

Table A2.7: Percentage of mature females for each age for the Georges Bank/Gulf of Maine white hake stock.

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | $9+$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0.058 | 0.268 | 0.683 | 0.927 | 0.987 | 0.998 | 1.000 | 1.000 | 1.000 |

## Appendix A3

## Bridge-building - the data effect

To understand how the data changes and additions impact the assessment, a bridge has been constructed to transition from the Georges Bank/Gulf of Maine white hake GARM III assessment to the corresponding assessment with updated data through 2011.

Spawning biomass, fishing proportion and recruitment trajectories are shown in Fig. A3.1 for the following runs:
a. "2007": GARM III SCAA assessment,

With updated commercial data through 2007:
b. "2007 - new catches": as above, with updated annual catches,
c. "2007-new catches + comm CAA": as above, with updated commercial catches-at-age,
d. "2007 - new catches + comm CAA + comm WAA": as above, with updated catch mean weight-atage,
With updated survey data through 2007:
e. "2007-new indices": GARM III SCAA assessment with updated NEFSC survey indices,
f. "2007-new indices + CAA (same yr)": as above with updated survey catch-at-age data for the same years as used for the GARM III SCAA assessment,
g. "2007-new indices + CAA": as above, but also including further years of survey catch-at-age data. With all updated data through 2007:
h. "2007 - new data": all updated commercial and survey data,

With all updated data through 2011:
i. "2011 - new data": including data through 2011.


Fig. A3.1: Spawning biomass, maximum fishing proportion and recruitment (N1) trajectories.


NEFSC Spring survey



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NEFSC Autumn survey



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1985
$1995 \quad 2005$


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|  | 19651975 | 1995 |

Fig. A3.2: Results for the "2007" white hake assessment.


Fig. A3.3: Results for the "2007-new data" white hake assessment.


Fig. A3.4: Results for the "2011 - new data" white hake assessment.

